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APPLICATION NUMBER

FILING/RECEIPT DATE

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ATTORNEY DOCKET NUMBER

09/825,765

04/04/2001

Yumi Yokoyama

600.491US2

**CONFIRMATION NO. 3671** 

FORMALITIES LETTER

Schwegman, Lundberg, Woessner & Kluth, P.A. P.O. Box 2938 Minneapolis, MN 55402

\*OC000000006992363\*

Date Mailed: 10/29/2001

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Δn	nlicant:
$\Delta$	plicant:

Yumi Yokoyama et al.

Title:

GENETIC MODIFICATION OF ENDOSTATIN

Docket No.:

600.491US2

Filed:

April 4, 2001

Examiner:

Serial No.: 09/825,765

Due Date: December 29, 2001

Group Art Unit: 1645

Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the following attached items (as indicated with an "X"):

<u>X</u> _	A return postcard.	
$\mathbf{X}$	Preliminary Amendment (7 Pages).	
XΨ	Sequence Listing (4 pgs.).	
ΧÜ	Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence	
T.	Disclosure (2 pgs.).	
<u>X</u>	Clean Version of Specification Paragraphs (10 pgs.).	
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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.Q. Box 2938, Minneapolis, MN 55402 (612-373-6900)

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 12th day of <u>December</u>, 2001.

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

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